

9-27-07 1FW 3692

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Justin B. Magaram, et al.

Docket No.  
13768.783.22

Application No.  
09/332,459

Filing Date  
June 14, 1999

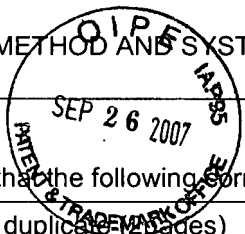
Examiner  
Nga B. Nguyen

Customer No  
47973

Group Art Unit  
3692

**Invention:**

METHOD AND SYSTEM FOR REPRESENTING DEPENDENCIES IN A FINANCIAL PLAN



I hereby certify that the following correspondence:

Transmittal in duplicate (2 pages)  
USPTO Acknowledgement Post Card  
Amendment "I" and Response (5 pages)  
Annotated Drawing Sheet (1 page)  
Replacement Drawing Sheet (1 page)

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

September 26, 2007  
(Date)

Angela Young

*(Typed or Printed Name of Person Mailing Correspondence)*

A handwritten signature in dark ink, appearing to read "Angela Young", written over a horizontal line.

*(Signature of Person Mailing Correspondence)*

EV 881426362 US

*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**

RICK D. NYDEGGER  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
JOHN M. GUYNN  
CHARLES L. ROBERTS  
DANA L. TANGREN  
ERIC L. MASCHOFF  
CHARLES J. VEVERKA  
ROBYN L. PHILLIPS  
RICHARD C. GILMORE \*  
STERLING A. BRENNAN  
R. BURNS ISRAELSEN  
DAVID R. TODD  
DAVID B. DELLENBACH  
L. DAVID GRIFFIN  
ADRIAN J. LEE  
FRASER D. ROY  
CARL T. REED  
R. PARRISH FREEMAN, JR.  
PETER F. MALEN, JR.  
L. REX SEARS, PH.D.

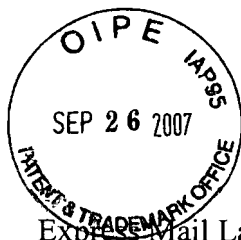


ROBERT E. AYCOCK  
JENS C. JENKINS  
MICHAEL B. DODD  
BRETT I. JOHNSON  
SARA D. JONES  
TIGE KELLER  
JANNA J. LEWIS  
J. LAVAR OGDHAM  
MICHAEL J. FRODSHAM  
WESLEY C. ROSANDER  
RYAN N. FARR  
WILLIAM R. RICHTER  
KEVIN W. STINGER  
MATTHEW D. TODD  
MATTHEW A. BARLOW  
ANDREW S. HANSEN  
CHAD E. NYDEGGER  
JOSEPH G. PIA  
CLINTON E. DUKE  
JAMES B. BELSHE  
KIRK R. HARRIS  
MICHAEL M. BALLARD  
DAVID A. JONES  
SHANE K. JENSEN  
JONATHAN M. BENNS, PH.D.  
SCOTT A. WOODBURY  
JOHN T. GADD  
MARK W. FORD  
COLBY C. NUTTALL  
AARON M. SMITH  
F. CHAD COPIER §  
KULANIAKEA FISHER  
THOMAS M. BONACCI  
PAUL G. JOHNSON  
AMBER B. LEAVITT  
JEFFERY M. LILLYWHITE  
HEATHER MANWARING

VERNON R. RICE §  
OF COUNSEL

# WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS



Express Mail Label No. EV 881426362 US

PATENT APPLICATION  
Docket No. 13768.783.22

1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UTAH 84111  
TELEPHONE: (801) 533-9800  
FAX: (801) 328-1707  
WEBSITE: HTTP://WWW.WNLAW.COM

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Justin B. Magaram, et al.	)
Serial No.:	09/332,459	) Art Unit
		) 3692
Filed:	June 14, 1999	)
Conf. No.:	2958	)
For:	METHOD AND SYSTEM FOR REPRESENTING DEPENDENCIES IN A FINANCIAL PLAN	)
Examiner:	Nga B. Nguyen	)
Customer No.:	47973	)

### TRANSMITTAL FOR AMENDMENT "I" AND RESPONSE AFTER EX PARTE QUAYLE OFFICE ACTION

VIA eFILE AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment "I" and Response for entry in the  
above-identified application.

X To render the transmitted Amendment "I" and Response timely filed enclosed are the following:

- X Certificate of Mailing (1 page)
- X Transmittal in duplicate (2 pages)
- X Express Mail Label No. EV 881426362 US
- X USPTO Acknowledgment Post Card
- X Amendment "I" and Response (5 pages)
- X Annotated Drawing Sheet (1 page)
- X Replacement Drawing Sheet (1 page)

The fee has been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS REMAINING AFTER	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'T'L FEE	RATE	ADD'T'L FEE
TOTAL	MINUS	= 0	X		X \$50.00	00.00
INDEPENDENT	MINUS	= 0	X		X \$200.00	00.00
1 <sup>st</sup> PRESENTATION OF MULTIPLE DEPENDENT CLAIM			+ _____ =		+ _____ =	
			TOTAL		TOTAL	00.00

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

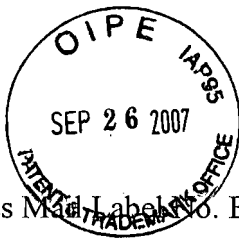
X Any patent application processing fees under 37 CFR 1.17.

Dated this 26<sup>th</sup> day of September, 2007.

Respectfully submitted,



RICK D. NYDEGGER  
Registration No. 28,651  
JENS C. JENKINS  
Registration No. 44,803  
Attorneys for Applicant  
Customer No. 47973



Express Mail Label No. EV 881426362 US

PATENT APPLICATION  
Docket No. 13768.783.22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Justin B. Magaram, et al.	)
		)
Serial No.:	09/332,459	) Art Unit
		) 3692
Filed:	June 14, 1999	)
		)
Conf. No.:	2958	)
		)
For:	METHOD AND SYSTEM FOR REPRESENTING DEPENDENCIES IN A FINANCIAL PLAN	)
		)
Examiner:	Nga B. Nguyen	)
		)
Customer No.:	47973	)

AMENDMENT "T" AND RESPONSE  
AFTER EX PARTE QUAYLE OFFICE ACTION

Mail Stop AMENDMENTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Ex Parte Quayle Office Action of September 6, 2007 (paper no. 20070901), please amend the above-identified application as follows:

**Amendments to the Drawings** are discussed on page 2 of this paper and include both an attached replacement drawing sheet and an annotated drawing sheet showing changes. The amended drawings are included following the Remarks section.

**Amendments to the Specification** are found on page 3 of this paper.

**Remarks** begin on page 4 of this paper.

There are no **claim amendments** made by this paper, such that the pending claims are the same as previously presented in the response filed on June 22, 2007 and which were found allowable in the Action mailed on September 6, 2007.